

Climate patterns of political division units obtained using automatic classification trees

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RESUMEN

Este artículo propone una metodología para descubrir patrones en datos climatológicos, particularmente temperaturas y precipitación, observados en unidades políticas subnacionales, usando un algoritmo de clasificación automática (un árbol de decisión producido por el algoritmo C4.5). Por lo tanto, los patrones representan árboles de clasificación, en el supuesto de que: 1) cada unidad de división política contiene al menos una estación climatológica y 2) los periodos de registro de las estaciones son relativamente similares en duración y en sus años iniciales y finales. Se produce una serie de modelos de clasificación mediante

el uso de diferentes subconjuntos de un conjunto de datos experimentales. Este conjunto de datos contiene información de 3606 estaciones climatológicas en México cuyos periodos de registro tienen diversas duraciones, años iniciales y finales. La variable objetivo (dependiente) en todos estos modelos es el nombre de la unidad política (es decir, el estado). Los predictores son 36 características mensuales por cada estación climatológica: 12 corresponden a una temperatura mínima, 12 a una temperatura máxima y 12 a la precipitación acumulada. También se usó la altitud como predictor adicional a los 36 mencionados, pero sólo para cuantificar su contribución adicional al modelado. Los resultados muestran que los árboles de clasificación son modelos eficaces para describir y representar los patrones no triviales que caracterizan a las unidades de división política, con base en sus temperaturas y precipitación mensual. Uno de los hallazgos destacables es que la precipitación acumulada de mayo es la característica con el mayor poder discriminador en esta tarea de caracterización, lo cual es consistente con el trasfondo teórico de la climatología mexicana. Además, los árboles de clasificación ofrecen alta expresividad a personas poco familiarizadas con aprendizaje automático.

ABSTRACT

This article proposes a methodology to discover patterns in observed climatologic data, particularly temperatures and rainfall, in subnational political division units using an automatic classification algorithm (a decision tree produced by the C4.5 algorithm). Thus, the patterns represent classification trees, assuming that: (1) every political division unit contains at least one climatological station, and (2) the recording periods of the stations are relatively similar in duration and in their initial and ending years. A series of classification models are produced by using different subsets from an experimental dataset. This dataset contains information from 3606 climatological stations in Mexico with recording periods whose durations, initial and ending years are diverse. The target (dependent) variable in all these models is the name of the political unit (i.e., the state). The predictors are 36 monthly features per each climatological station: 12 features corresponding to a minimum temperature, 12 to a maximum temperature, and 12 to cumulative rainfall. The altitude feature is also used as one of the predictors, in addition to the other 36; however, it is used only to quantify its additional contribution to the modelling. The results show that classification trees are effective models for describing and representing non-trivial patterns to characterize the political division units based on their monthly temperatures and rainfalls. One of the remarkable findings is that the cumulative rainfall of May is the feature with highest discrimination capability to the characterization task, which is consistent with the theoretical background on Mexican climatology. In addition, classification trees offer higher expressivity to non-experts in machine learning.

Keywords: Climate patterns, political division, Mexico climate, data mining, data science, classification algorithms, classification trees, C4.5 algorithm.

1. Introduction

For more than a century, climatologists have addressed the characterization of climate patterns using classification methodologies or systems associated with global, regional or local geographic areas. These systems are useful for basic and applied research and diverse usages in a variety of human activities. Classification systems by Köppen (1936), Holdridge (1947, 1967), Trewartha (1968) and Thornthwaite (1948) have been among the most known and used. As our research uses climatological data from Mexico to perform a concept proof of our proposed methodology, the work by García (1964) is a relevant reference because it is a reputed adaptation of Köppen's system for the particular case of the Mexican climatology.

In the second decade of the 21st century, the characterization and representation of climate patterns is a relevant research question. Reasons for this are:

(1) The need to understand global climate phenomena, including climate change and its social, economic and political impacts; (2) the availability of vast databases containing climatological data, observed or from reanalyses; (3) the availability of techniques and software tools for data analysis and modeling that use statistics or machine-learning approaches.

The climate is a phenomenon that does not depend on political division borders; however, with this in mind, the general approach in our research involves a reverse perspective: how to discover and to represent the patterns that characterize political division units from their climatological features. This also involves the relationships or similarities among these units based on common climatological characteristics. Figure 1 shows the 32 political units of Mexico. The primary motivation to address the climatological characterization of these units is that

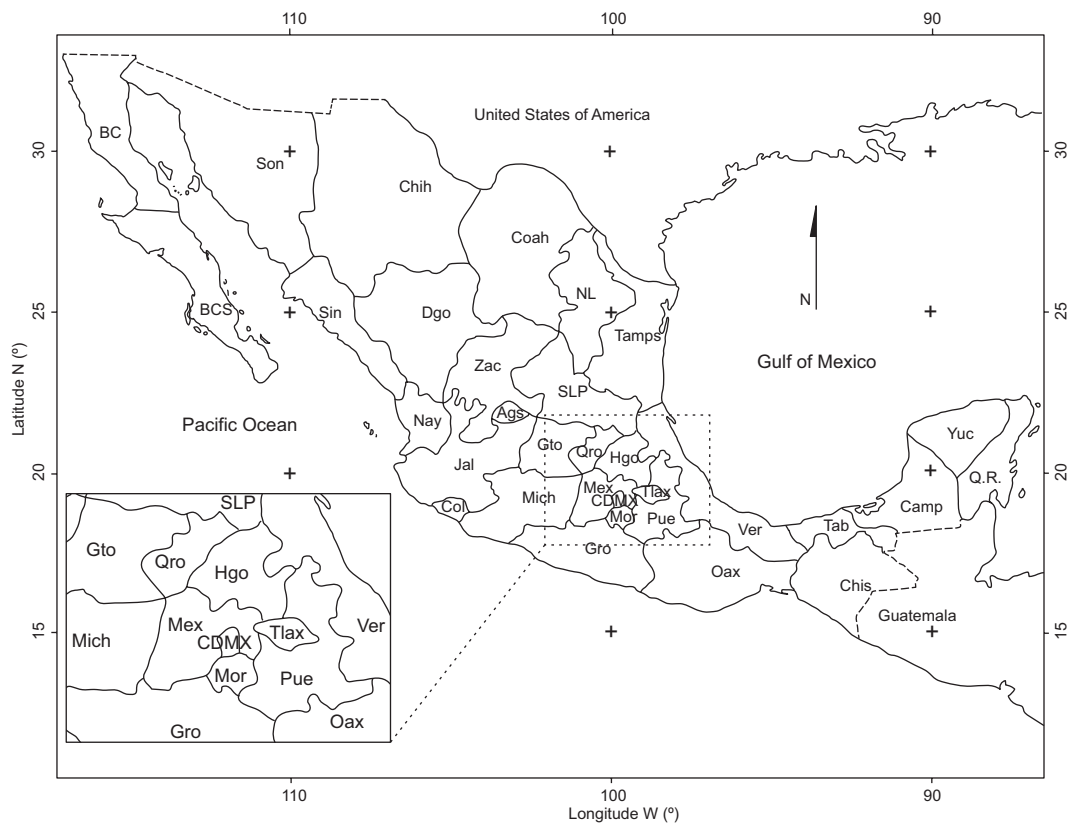


Fig. 1. Map of Mexico with its political division units (31 states and Mexico City).

the detailed knowledge of climate patterns that are associated to specific political units is highly useful not only to climate researchers, but also to public policy makers and to private company strategists. This is even more relevant if the climate change phenomenon and the necessity to develop new public policies are considered. For instance, a policy maker in the agriculture department of a state could match the need of crops dictated by markets, but neglected in this region, with the plants suitable for the climate of his state, to favor their cultivation. A pharmaceutical manufacturer could modify the containers shipped to certain states, based on their environment.

On the one hand, the most known climate classification systems define climate types depending on climatological features (e.g., rainfall and temperature) and, eventually, other non-climatological features, such as biome. Then, the climate types are associated to specific territories on a map or a spatial database. However, the general approach in data mining consists in the discovery of patterns from analysis of the values of a series of features, usually without considering previous models of the data in

the particular domain. We can solve our particular problem using automatic classification algorithms, mainly trees. In addition to characterizing the territorial units from specific climatological values, it is possible to identify, trace and analyze the aggregation (and disaggregation) patterns of these groups, by using relatively simple rules that show thresholds and differences among the values of climatological variables. In general terms, this research is feasible because; (1) there exists a considerable amount of climatological data from Mexico; (2) there exist some automatic classification algorithms that are available in commercial or free software; and (3) the authors are knowledgeable in fields such as climatology and data science.

The paper has the following divisions: Section 1 defines the research problem, delimitates its scope and describes the research approach. Section 2 presents related work in the area of climate classification systems. Section 3 discusses works on the application of automatic classification algorithms in the climatology and meteorology areas. Section 4 gives a theoretical background on automatic classification

trees. Section 5 presents our methodology. Section 6 describes the experimental data used to develop and evaluate our method. Section 7 presents the empirical results obtained by applying the method to the experimental data. Section 8 discusses the results and suggests potential applications of the methodology and the results, and Section 9 presents the conclusions and suggests future research work.

2. Problem definition

The research problem is to develop a methodology that characterizes and represents the climate patterns of subnational political division units (e.g., states, provinces, departments) based on observed monthly temperatures and rainfalls, by exploiting the advantages of automatic classification algorithms. In this research, a *climate pattern* is the combination of climatological features (i.e., monthly maximum and minimum temperatures and cumulative rainfall) and their respective specific values associated with a determined political division unit (particularly, states). The reason for focusing on these climatological features is that they are the most frequently analyzed in the most used climate classification systems (e.g., Köppen, Holdridge, Thornthwaite, etc.). Therefore, they are the variables most commonly recorded by climatological stations worldwide and during long periods. Very often, these data are available as long and complete time series with acceptable levels of reliability. Although other climate classification systems analyze other different or additional features, this research work constitutes our first approach to the problem; therefore, simplicity is a constraint to test our methodology. Other climatological features can be incorporated in future work.

This research considers subnational units of political division because public policy makers need information on climate (and climate change) focused on their respective geographical scopes. Since the beginning of the XXI century, an accurate knowledge of the local environment, its patterns, and its variability is highly useful to decision-making in local governments and diverse industrial sectors. Therefore, in addition to global and regional climate classifications, subnational and local classifications have been introduced, for instance, García (1964), which are easier to understand to non-expert users and easier to exploit in areas different from climate science.

The usually applied procedure to characterize climatologically territorial units of political division consists in overlapping, either visually or mathematically, a political division map of the area of interest on a climate classification map. In other words, a climate classification system and its corresponding geographical representation on a map (or on a spatial database) are previously required for the task. The climate classes or typologies in the selected classification system depend on the climatological data used to produce the typology. It may happen that those data and the resulting typologies can be obsolete or inaccurate to characterize a particular territory on a given period. Thus, some of the most known climate classification systems need occasional revision or adaptation to specific areas, for instance, the adaptation of Köppen's system for the Mexican environments by García (1964). The obsolescence or inaccuracy can be larger due to the global climate change phenomenon.

The scope of this research is delimited to implement an experiment in which a dataset containing climatological features from a collection of climatological stations in Mexico is fed into an algorithm of the supervised machine-learning paradigm. The climatological features are monthly maximum and minimum temperatures and cumulative rainfalls. Although available, no other features were used because Köppen (1936) and García (1964) focused only on these, and due to our previous experience in Mexico's climate. The algorithm to produce classification trees is J4.8 (Witten and Frank, 2000), also known as J48, which is the WEKA implementation of the C4.5 algorithm. This algorithm is selected because: (1) classification trees are easy to understand to non-experts of the machine-learning area, and (2) implementations of this algorithm are available in a wide variety of software toolkits, either commercial or free.

The particular approach of this research involves using a supervised machine-learning algorithm as a means to discover and to represent patterns that exist in climatological data that pertain to the specific political divisions of Mexico. The purpose is not to use the produced models as tools for automatic prediction or forecasting of the territorial unit (e.g., state) associated with a set of climatological features, but instead to use the models only as representations of the climatological patterns. The target attribute is the name of the political division unit (i.e., the state) corresponding to the location of every climatological

station. As the state is a known data that is available in the dataset, there is no need for using the models to predict this nominal value.

For a dataset, classification trees can automatically discover and represent the rules that constitute a particular climatological pattern, including the hierarchies and interactions among the variables and their threshold values. The intent is that this methodology can be applied to the climatological characterization of political division units of any country, using a similar collection of climatological features.

3. Climate classification systems

A climate classification system is a set of arithmetical and logical rules, and simple tags or labels that identify and define specific climate types based on climatological and, eventually, other complementary features. Most of these classification systems have been created to characterize the climate of large regions of the world, and these areas are associated to the major world biomes. Then, the systems are adopted and adapted on more specific territories; for instance, Köppen (1936), Holdridge (1947, 1967), Thornthwaite (1948) and Trewartha (1968). Most of the world and regional climate maps have used Köppen's classification, which uses monthly temperatures and rainfall as climatological features to classify the climates. At present, these maps are updated and used to show changes in climate depending on climate change scenarios. The systems underwent some revisions and adaptations. For instance Belda *et al.* (2014), Kottek *et al.* (2006), and Rubel and Kottek (2010) have used recent climatological data of the second half of the 20th to produce updated classifications based on Köppen.

In the particular case of Mexico, three climate classification systems are adopted and widely used: Köppen, Holdridge, and Thornthwaite. In García (1964), a remarkable adaptation of Köppen's classification appears. In general terms, she used a procedure that is similar to Köppen's, but she introduced a series of new climatological types, subtypes, and variants. García's system is now used in Mexico since several decades ago, not only in climatology but also in other sciences, such as biology and agriculture.

4. Application of machine-learning algorithms to climatology and meteorology

Machine-learning algorithms have been applied to the climatology and meteorology fields for the last

three decades, at least. The most used algorithms in these areas are artificial neural networks (ANN) and unsupervised clustering. The application of classification trees seems to be recent and infrequent. Regarding ANN, they are used in the modalities of self-organizing map (SOM), multi-layer perceptron (MLP) and neuro-fuzzy. See for instance, Badr *et al.* (2014), DeOliveira *et al.* (2009), Hewitson and Crane (2002), Jiang *et al.* (2012), Kisi and Shiri (2014), Robinson *et al.* (2013), and Shank *et al.* (2008). Cavazos (1999) applies SOM to the study of extreme precipitation in Northeastern Mexico. Clustering is used, for instance, by Bankert *et al.* (2009), Bravo-Cabrera *et al.* (2012), and McGuire and Tang (2013). Lu and Qin (2014) use a combination of ANN and clustering. Celik *et al.* (2014) use association rules, and Tavakol-Davani *et al.* (2013) use classification trees. Two very interesting works on the application of automatic classification trees are Zhang *et al.* (2013a, b), who use J4.8, the same tree generator algorithm used in our work, to model tropical cyclone rainfalls (see Fig. 2).

In turn, Faghmous *et al.* (2014) address the application of data science to the research of climate change. Coria *et al.* (2013) successfully use J4.8 to characterize political division units, particularly municipalities, from a demographical perspective addressing the digital divide phenomenon in Mexico. After a review, to our knowledge, no related work addresses the climatological characterization of territorial units of political division by using automatic classification algorithms.

5. Theoretical background on automatic classification algorithms

A reputed reference on data mining and automatic classification algorithms is Han *et al.* (2005). Classifying consist in assigning a class (a label) to an object, based on a set of its features. For instance, in Zhang *et al.* (2013a, b), the labels "makes a landfall along the Chinese coast" or "does not make a landfall along the Chinese coast," are assigned to tropical cyclons, based on their latitude, minimum central pressure, 10-min maximum sustained wind speed, etc. A classifier is an algorithm that implements classification. A supervised classifier is built by giving it a set of objects, each one with its right class. The algorithm discovers or learns what combination of features indicates what class. This construction phase

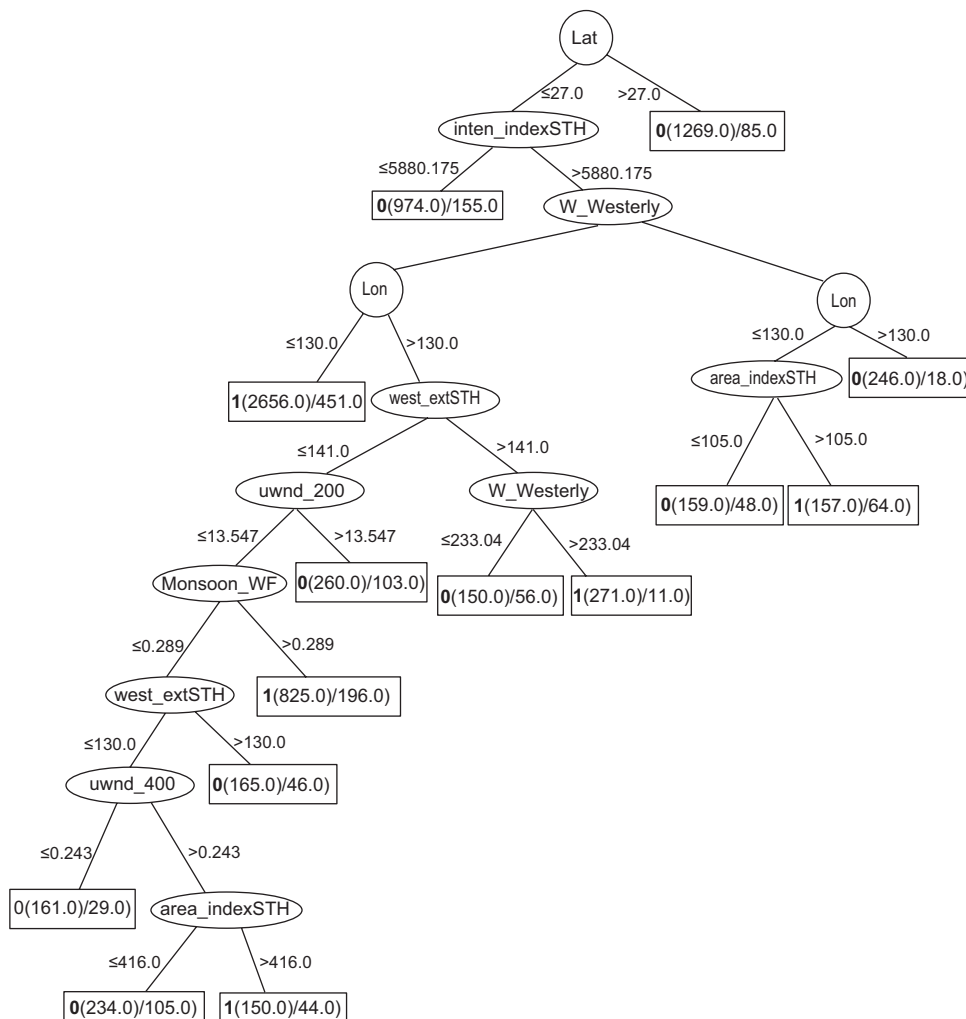


Fig. 2. An example of a classification tree (reproduced from Zhang *et al.*, 2013b) that classifies a cyclone into 0 (“does not make a landfall along the Chinese coast”) or 1 (“makes a landfall...”). These numbers are seen to the left of the left parenthesis in each leaf node (rectangles in the tree). Lat is the latitude; inten_indexSTH is the intensity index of the subtropical high, W_Westerly is the westerly index; lon is the longitude; area_indexSTH is the area index of the subtropical high. West_extSTH is the westward extension index; uwnd_200 is the zonal wind in the 200-hPa layer; Monsoon_WF is the monsoon index; uwnd_400 is the zonal wind in the 400-hPa layer, and area_indexSTH is area the index of the subtropical high.

is also known as the *learning* or *training phase* of the classifier, considered as a parameter tuning that is done by the classifier without human intervention. After training, a *test phase* is performed to measure the *accuracy* of the classifier model. After this, the classifier is ready to classify new objects: to assign a class to each one. The *accuracy* of a classifier is the ratio of correct classifications; e.g., a classifier that makes 12 mistakes when classifying 100 objects has an accuracy of 88%, where accuracy is equal to

the number of objects correctly classified, over the number of total objects to be classified. The *Kappa coefficient* (Cohen, 1960) is another way to gauge the goodness of a classifier, defined as: $Kappa = [acc - Pr] / [1 - Pr]$, where *acc* is the accuracy, and *Pr* is the probability that the classifier assigns a right class randomly. It is more robust than simple percent agreement (between the machine classifier and the reality) because it takes into account the agreement occurring by chance. Other measurements, like

recall, *precision*, and *F-measure* are also used to evaluate a classification model quantitatively.

When performing a model test, recall, precision and *F-measure* are computed for each class. *Recall* is the rate of true positives of a class. Recall (e) = correct classifications of stations to state e /number of stations in state e . *Precision* is the proportion of cases that truly are of a class divided by all classified into that class. Precision (e) = correct classifications of stations to state e /number of stations classified as e . High precision means the classifier had many more correct assignments to e than incorrect ones; high recall implies that the classifier classified most of the stations belonging to e as e . For state e , *F-measure* (e) = $[2 \times \text{Precision}(e) \times \text{Recall}(e)] / [\text{Precision}(e) + \text{Recall}(e)]$. These three indices measure the model's skill is to recognize instances of a class. Then, the weighted average of *F-measure* is the average of all *F-measures*, each weighted according to the number of cases with that particular class label. Thus, the weighted *F* is an overall measure of the model goodness.

There are many types of supervised classifiers. The tree-based classifiers make their decision procedure understandable to a person, which is an advantage. Thus, we selected the classifier J4.8 (Witten and Frank, 2000) to analyze our dataset. It is inspired on the reputed C4.5 algorithm (Quinlan, 1993), and it is available in the open-access WEKA data-mining tool (<http://www.cs.waikato.ac.nz/ml/weka/>), another advantage.

The J4.8 algorithm is a generator of classifier trees. The input to the tree is an object to be classified. This object *travels* down the tree, selecting at each node of the tree a branch, according to the value of the feature that the node evaluates. For instance, if the first node of the tree assesses a cyclone latitude, then the input object will go to one of the branches *less than or equal to 27 degrees*, or *greater than 27 degrees* (see Fig. 2), according to its latitude. In each subsequent node, a different test (usually on a different feature, for instance the *intensity index of the subtropical high*) is tried. The last node, a *leaf*, outputs the class of the object (for example, *does not make a rainfall along the Chinese coast*). The tree is available for examination by the user, yet another advantage. Every branch, from the root to a particular leaf, constitutes a classification rule (*if-then* rule). A rule has two parts: *antecedent*, and *consequent*.

The antecedent (the *if* part) is the collection of comparisons (i.e., features with associated values) represented from the root through the node before the leaf. The consequent (the *then* part) is the leaf representing a class (a political division unit). Section 8.4 shows some rules. Figure 2 is an example of a classification tree.

The construction of the tree depends on a set of samples (in our case, weather stations) labeled with their corresponding states. The tree is binary; each node has two branches or none (leaf node). A leaf node is one at which all the samples have the same label (they belong to the same state). If an unknown station “falls” into a leaf, it is assigned the state of the leaf.

A non-leaf node splits the objects to be classified according to the attribute of the node. The attribute and the value at which the split is produced are selected so that the normalized information gain (difference in entropy) is greatest. The left and right branches are treated as smaller trees, and the algorithm is recursively applied to them. A branch is split unless it is a leaf node or no more variable-value pairs remain. In this case, the expected value of the label is assigned to the leaf node. For instance, if 75% of the samples falling into this node have label *Oaxaca*, and 25% have label *Chiapas*, and there are no more tests possible, then the expected value is *Oaxaca*.

The J4.8 classifier produces binary splits for numeric data, as most tree classifiers do, allowing the same variable to be used “partially,” permitting other variables to be tested and contribute to the decision, if they provide more information gain. Thus, the same variable can appear at different tree levels on a given branch. On the other hand, it is possible to construct a tree classifier with multiple splits when testing a variable, for instance, the KD-tree classifier (Guzmán, 1995). In a given branch, it tests a variable just once. Due to its inability to use the same variable at several levels on the same branch, it is, in general, less accurate than a binary tree.

6. Methodology

Based on data mining techniques, the following general steps should be followed as a methodology:

- i. Determine the political division unit to be used as target attribute (e.g., state, department, province) for the interested country.

- ii. Retrieve monthly climatological data from the source databases: 12 maximum temperatures, 12 minimum temperatures, and 12 cumulative rainfalls to conform the 36 features for each climatological station previously selected. The name of the political division unit of every station must be available, to be used as a target. All these data constitute the initial dataset.
- iii. Check data quality considering missing values, outliers, or bias. The recording period should be continuous (i.e., no missing values for several consecutive months) and their minimum duration should be 30 years, to ameliorate the effects of periods of unusual climate. Allow missing values (the algorithm permits this), but a long sequence of them has a negative influence on the accuracy of the results. Make the initial and ending years of periods as similar as possible among the periods. Discard values beyond two standard deviations (outliers). Bias in the measurements was ignored in our case (it was too difficult to check).
- iv. Rounding of numbers in climatological features (i.e., no decimals) is recommended to avoid the production of unnecessarily detailed models.
- v. Perform descriptive statistics analyses (histogram, column diagram, and Pareto) on these features: period durations, beginning and ending years, and political division units. In our case, data selection (step vi) and feature selection (this step) were as follows. For data selection, the 5329 climatological stations in the UNI-ATMOS database ((Fernández-Eguiarte *et al.*, 2014; refer to section 6) were reduced to 3606 by eliminating stations with too many missing or incomplete time series, although stations with periods less than 30 years were included. Of course, we did not remove a significant percentage of stations from any political unit (a compressed plot of these 3606 stations appears in Fig. 3). This yielded our initial dataset (Dataset 1 in Table I), from which another eight groups of meteorological recordings

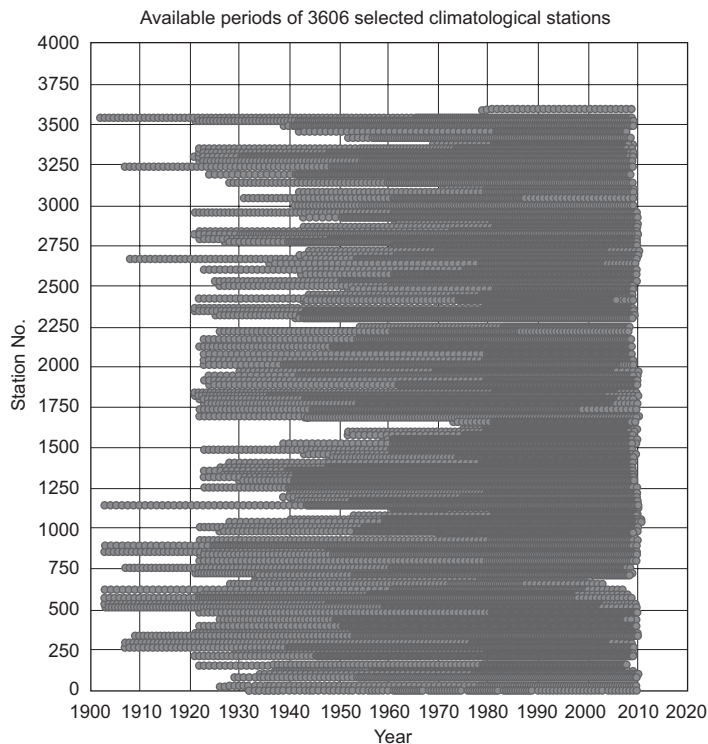


Fig. 3. Visualization of the periods corresponding to stations whose data are available in complete time series of at least 2 yrs. with all monthly data for maximum and minimum temperatures and cumulative rainfall. The vertical direction has been compressed to keep it to a reasonable size.

Table I. Statistical description of the nine experimental datasets to produce classification models (the total number of Mexican states is 32).

Dataset No.	Number of stations	Period duration in years		Minimum initial year	Maximum final year	Number of Mexican states in a significant subset (80%) of the stations
		Shortest	Largest			
1	3606	2	108	1902	2011	19
2	2399	29	108	1902	2011	19
3	1837	29	62	1949	2011	19
4	1292	4	98	1902	2000	15
5	957	39	59	1952	2011	18
6	484	29	79	1921	2000	15
7	427	29	35	1975	2010	15
8	410	29	66	1921	1999	16
9	298	16	51	1921	1985	15

were formed, each of them representing a distinct epoch (Datasets 2-9). The *sizeable recording period* was a relevant aspect; thus, removing stations with less than 29 years of recording produced Dataset 2. Considering the *starting time* of recording yielded Dataset 3, which encompasses the second half of the 20th century, while Dataset 4 comprises the whole 20th century. Dataset 5 is Dataset 3 considering *long recording periods*, that is, longer or equal to 39 years. Dataset 9 includes *short periods*, at least of 16 years. Datasets 6, 7 and 8 show *variations* on durations, initial and final years. These datasets underwent descriptive analyses, but they were not used to discard any of them. Instead, *all nine datasets* were used to produce classification trees, whose results are shown in section 7.

With respect to feature selection, it was not made by hand. Instead, the J4.8 algorithm makes this selection automatically (from the dataset fed to it), placing those features with the largest discrimination power near the root of the tree (Witten and Frank, 2000), using entropy considerations. Section 7.2 shows the most discriminant features thus selected. Section 7.1 covers the best trees (for Dataset 2) where these features appear, in fact, near the root.

- vi. Different subsets of stations can be considered to produce other datasets from the initial dataset, if different durations of periods or different initial and ending years in periods exist. In addition, the climate change phenomenon can be considered, and a particular year defined as

the boundary to create different datasets (e.g., data before the year 1950, and after that year).

- vii. Produce a classification tree model for every dataset by using the J4.8 algorithm (the WEKA software is recommended).
- viii. Evaluate the trees quantitatively, analyzing the values of accuracy, Kappa, and *F*-measure. Aim to achieve an accuracy of at least 70%, which will provide confidence that the method produces reasonable results. For instance, in our case, the probability of a random assignment of a state to a station being correct is 1/32 (since Mexico has 32 political units). More accurately, it is at most 0.12, which is the ratio of the surface of the largest state, Chihuahua, to the whole surface of Mexico.
- ix. Interpret, evaluate and determine the theoretical soundness of the best tree from the climatology perspective.
- x. Extract and assign identifiers (e.g., integer numbers) to the classification rules in the best tree.
- xi. Group the rules corresponding to every political division unit.
- xii. Rank the rules in every political division unit based on its support value (i.e., the number of stations meeting each rule).

7. Experimental data

The experimental data in this research are organized as a series of datasets obtained from the UNIATMOS database (Fernández-Eguiarte *et al.*, 2014), which contains data from 1902 to 2011. However, the available periods of data records are not equal among all stations. UNIATMOS was created and updated by using daily data from the Servicio

Meteorológico Nacional (Mexican Weather Service, SMN). It contains 5227 climatological stations with maximum temperatures, 5225 stations with minimum temperatures, and 5320 stations with cumulative rainfalls. Among other data, it includes 36 yearly climate features per station (from January to December) as follows: 12 features of maximum temperature, 12 features of minimum temperature, and 12 features of cumulative rainfall. Station ID, latitude, longitude, altitude, station name, municipality, and state constitute data on every station. The *state* is the political division unit used as the target in the classification tree models. Climatological stations were placed in Mexico at different times and by various authorities. Budget played a role, too. Thus, they are not uniformly distributed (Table II gives the number of stations per state). Nevertheless, since states generally have smaller budgets, their distribution tends to be somewhat balanced.

Statistical representativity is an essential aspect when producing analyses and models in climatology and data mining. Therefore, differences in the durations and initial and ending years of periods of climatological stations in the database are considered, to produce a first dataset (Dataset 1). According to the methodology, values of climatological features (and altitude) were rounded to integers. *Altitude* is included in the dataset but only to determine its contribution to the classification process. Temperature is in degrees Centigrade, cumulative rainfall is in millimeters, and the altitude is in meters above sea level.

Other eight alternate datasets were produced from Dataset 1 by selecting different subsets of stations. Table I presents the statistical description of all the nine datasets. There are important reasons to use nine different datasets: (1) durations, initial, and final years of recording periods of stations are heterogeneous; (2) climate change influences the climate patterns corresponding to the late 20th and early 21st centuries. The nine experimental datasets of this research are available at: <http://tinyurl.com/gq6dc73>.¹

The original database of 5320 weather stations was reduced to 3606 in Dataset 1 by eliminating stations with missing or incomplete time series, although stations with periods less than 30 years

Table II. Quantity of classification rules, stations, and accuracy per state.

State	Quantity of rules	Quantity of stations	Accuracy (%)	State	Quantity of rules	Quantity of stations	Accuracy (%)	State	Quantity of rules	Quantity of stations	Accuracy (%)
Jal	25	156	60.9	Hgo	14	74	35.1	Qro	5	24	8.3
Oax	22	100	44.0	Dgo	13	66	53.0	Son	5	111	82.0
Gto	20	118	59.3	Tamps	13	130	70.8	BC	4	45	93.3
SLP	20	122	59.0	NL	9	73	50.7	Col	4	24	45.8
Mich	19	122	50.8	Ags	8	53	60.4	Tlax	4	23	39.1
Mex	16	153	64.7	CDMX	7	20	35.0	BCS	3	63	85.7
Pue	16	76	35.5	Mor	7	43	37.2	Nay	3	31	51.6
Zac	16	89	49.4	Sin	7	60	75.0	Q.R.	2	28	78.6
Gro	15	123	67.5	Chih	7	68	80.9	Tab	2	32	81.3
Ver	15	152	67.1	Camp	6	32	43.8	Yuc	2	41	70.7
Chis	14	101	63.4	Coah	6	43	63.0	Total	329	2399	60.5

¹ <http://tinyurl.com/gq6dc73> (the nine datasets).

were included. Dataset 2 is a subset of Dataset 1 that only contains stations having periods of 29 or more years. Dataset 3 is a subset of Dataset 2 that focuses on the second half of the 20th century and the beginning of the 21st century (1949-2011). The climate in Mexico during the first half of the 20th century is different from that of recent decades, which would reflect climate change according to the Intergovernmental Panel on Climate Change (IPCC). Dataset 4 focuses on the whole 20th century (1902-2000) and includes stations with short periods (four years as a minimum). The year 2000 is selected conventionally as a time boundary, supposing that patterns before this year are different from those after. Dataset 5 is similar to Dataset 3 because both are focused on the second half of the 20th century and the beginning of 21st, but Dataset 5 uses only periods greater than or equal to 39 years. Datasets 6-8 are also variations on the durations and initial and final years of the periods; Dataset 9 includes short periods (the minimum length is 16 years).

The last column in Table I shows the number of Mexican states in a significant subset (80%) of the stations. It is computed by performing a Pareto analysis on the state name in every dataset. The analysis calculates the cumulative relative frequency of stations, and counts the states. The purpose is to verify the representativeness of states in every dataset. Considering that the total number of Mexican states is 32, they are suitably represented in every dataset, as Table I shows.

Since the number of stations per state is not the same, this introduces certain bias. Nevertheless, we preferred not to delete any station, since this amounts to discarding useful information.

8. Results

8.1 General aspects

Table III summarizes the results of 18 models (nine with the *altitude* attribute and nine without it) that the J4.8 algorithm produced on the nine datasets. Two trees are created for each dataset: one that includes the *altitude* feature as one of the predictors, and one that does not. All these models are available at: <http://tinyurl.com/zctyjqa>.² The reason to produce 18 models is that comparisons between their accuracies, Kappas, quantities of leaves, and *F*-measures are necessary to identify advantages and disadvantages in

Table III. Results of trees produced by J4.8.

Dataset No.	Quantity of stations	Accuracy (%)		Kappa		Quantity of leaves		F measure (weighted average)		Root attribute
		Without <i>altitude</i>	With <i>altitude</i>	Without <i>altitude</i>	With <i>altitude</i>	Without <i>altitude</i>	With <i>altitude</i>	Without <i>altitude</i>	With <i>altitude</i>	
1	3606	59.4	61.3	0.58	0.60	517	481	0.594	0.611	rainfall_may
2	2399	60.5	61.2	0.59	0.60	329	333	0.604	0.610	rainfall_may
3	1837	58.8	59.8	0.57	0.58	282	273	0.586	0.597	rainfall_may
4	1292	55.4	56.3	0.53	0.54	200	201	0.550	0.561	rainfall_may
5	957	57.3	58.8	0.55	0.57	165	160	0.566	0.583	rainfall_may
6	484	51.7	52.9	0.49	0.50	82	81	0.514	0.527	rainfall_jun
7	427	53.6	54.6	0.51	0.52	71	72	0.533	0.542	rainfall_may
8	410	50.7	49.5	0.48	0.46	81	79	0.504	0.495	rainfall_jun
9	298	50.0	50.7	0.46	0.47	62	62	0.487	0.496	rainfall_may

each case. The quantity of leaves refers to the number of terminal nodes in a tree.

In the literature, there is no unanimity regarding the acceptance criteria in the evaluation of classification trees because this depends on the particular domain and purpose of the models. However, it is clear that an accuracy much larger than that produced by a random assignment should be attained; the closer to 100%, the better.

According to Table III, the trees with highest accuracies, Kappas and *F*-measures are the two which were produced using Dataset 2 (containing 2399 climatological stations). The effect of using the *altitude* feature as one of the predictors is only marginal, although consistent: in eight of the nine models, accuracies increase 1 or 2 percentage points, at most, and Kappas increase by 0.01 or 0.02 units. In turn, the quantity of leaves in the trees does not present a consistent or significant effect. As *altitude* is not strictly a climatological feature, the tree that does not include *altitude* is selected as the best model. This tree and its corresponding numbered rules can be downloaded from: <http://tinyurl.com/zeoytgd>.³ Its accuracy, Kappa, and *F*-measure are 60.5, 0.59, and 0.604%, respectively. These results are lower than our desired goal (point viii of the methodology section), but still acceptable, in our opinion.

Dataset 2 is the most efficient to produce the models due to these possible reasons:

1. It includes long enough periods whose duration is at least 29 years.
2. Its minimum initial year is 1902 and its maximum final year is 2011, respectively, which offers almost all of the available historical scope.

Climate homogeneity or heterogeneity of a state with respect to its size is immaterial to our study, since our unit of analysis is the meteorological station (and not the political unit). Therefore, the result is given according to how stations are grouped by the parameters that the classifier considers, independently of the size of the state, or how close a station is to another station in a contiguous state. According to the map in Figure 4, there is a climatically defined

area occupying two complete states, BC and BCS. In addition, an entire state (Son) and three-quarters of another state (Chih), or several states (Mex, Hgo, Pue, Tlax, Qro), definitely have different climate from the first two ones.

8.2 The most discriminant features

The *root* is the feature located at the top node of the tree model. This feature is important because it has the highest classification capability; i.e., it contributes most to the classification process. In seven of the nine trees, the root is *rainfall_may* (cumulative rainfall of May) (see table III). The root of the other two trees is *rainfall_jun* (cumulative rainfall of June), relevant from the Mexican climatology perspective because May is the month in which the rainy season begins in Mexico, and June is the second month of this season. In the best tree (<http://tinyurl.com/zeoytgd>), *rainfall_may* is also the most discriminant feature; its threshold value is 26 mm. The second most discriminant features are *rainfall_jun* (threshold: 50 mm), and *max_temp_jan* (maximum temperature of January, threshold: 26 °C). January is usually the coldest month in Mexico. Therefore, in general terms, this model expresses that the two major aspects considered to characterize the political division units are: rainfall at the beginning of the rainy season and maximum temperature in the coldest month.

As the best tree is the corresponding to Dataset 2, the map in Figure 4 presents its 2399 stations and the most general climate patterns discovered and represented by that tree. The number of description rules in a tree is equal to the number of its leaves (329 in this tree). However, the deepest leaves usually describe a very low number of instances, indicating that those patterns are not highly frequent. Therefore, the most general (i.e., most frequent) patterns are those that are described by features with the highest discriminant capability, which are located at the highest levels in the tree (i.e., the root and the features that are nearest to it). Thus, the feature at the root (May rainfall) splits the 2399 stations into two branches (i.e., two subsets): those greater than 26 mm, and those less than or equal to 26 mm. If the two highest levels in the tree are considered (May rainfall, Jan max temp,

² <http://tinyurl.com/zctyjqa> (all models).

³ <http://tinyurl.com/zeoytgd> (the best tree and its rules).

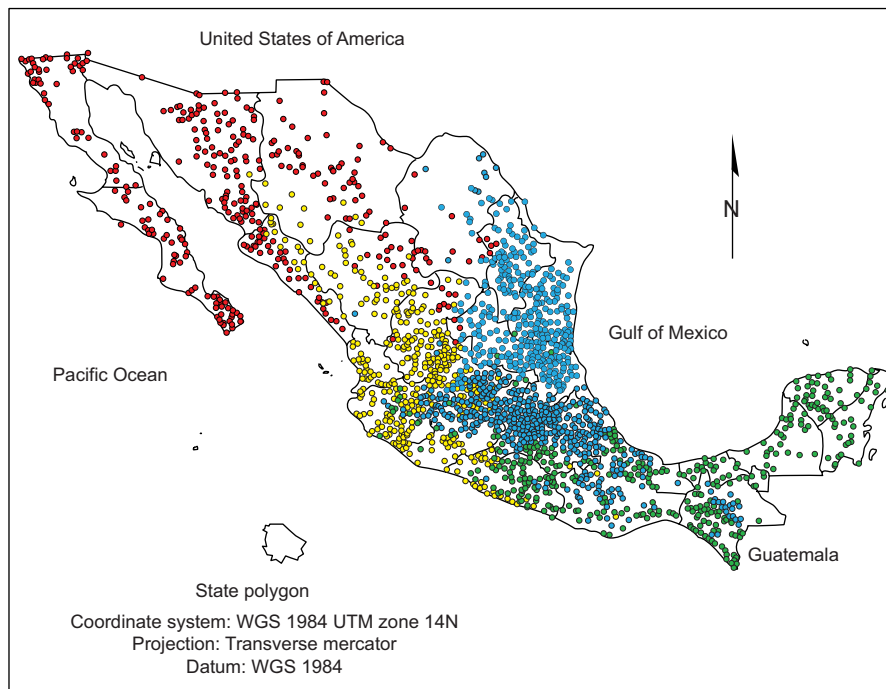


Fig. 4. The four basic climate patterns based on the features with highest discriminant capability at the top two levels in the best tree (from Dataset 2 with 2399 stations). Each point is a climatological station. *Light blue*: May rainfall > 26 mm and Jan max temp ≤ 26 °C. *Dark green*: May rainfall > 26 mm and Jan max temp > 26 °C. *Light orange*: May rainfall ≤ 26 mm and Jan rainfall > 50 mm. *Intense red*: May rainfall ≤ 26 mm and Jan rainfall ≤ 50 mm.

and Jan rainfall), then four collections of stations are identified. If three or more levels are considered, then eight, 16, 32, etc. collections can be identified. Therefore, the four most general climate patterns are:

- 1) May rainfall > 26 mm and Jan max temp ≤ 26 °C (light blue)
- 2) May rainfall > 26 mm and Jan max temp > 26 °C (dark green)
- 3) May rainfall ≤ 26 mm and Jan rainfall > 50 mm (light orange)
- 4) May rainfall ≤ 26 mm and Jan rainfall ≤ 50 mm (intense red)

This is interesting because the spatial distribution of the four patterns can be clearly visualized on the map: light blue is located at the center and the east, dark green is at the south and south-east, light orange is at the west, and intense red is at the north-west.

8.3 Characterization of the Mexican states

Every Mexican state can be characterized by selecting and grouping all its leaves from the best classification tree (<http://tinyurl.com/zeoytgd>) and joining them using the *OR* logical operator. Therefore, 32 groups of *OR*-joined rules describe the climatological profiles of all the Mexican states. Sorting the rules by support value in every state group is recommended so that the most supported rules of the state are presented first. These groups and the sorted rules can be downloaded from: <http://tinyurl.com/hl4gzcr>.⁴ The comprehension (and user friendliness) of a rule is enhanced if the user, on a first reading, “simplifies” it by ignoring its branches with small support. See in this regard section 8.4.

Table II presents the quantity of rules per state in the best tree (<http://tinyurl.com/zeoytgd>). The four states with more rules are: Jal (25), Oax (22),

⁴ <http://tinyurl.com/hl4gzcr> (rules grouped by state).

Gto (20) and SLP (20), and the 3 states with fewer rules are Q.R. (2), Tab (2) and Yuc (2). It contains, in addition, the classifier accuracy by state. The number of rules per state depends, mainly, on two aspects: the quantity of climatological stations in the state, and the diversity of climates in that state. As an example, the detailed characterization of two states are presented below:

State = Tlax: IF

$rainfall_may > 26, max_temp_jan \leq 26, max_temp_jul \leq 29, rainfall_apr > 20, rainfall_jan \leq 35, rainfall_aug > 97, min_temp_jan \leq 5, rainfall_jan \leq 13, rainfall_may \leq 81, rainfall_jan > 5, min_temp_jan \leq 4, rainfall_apr > 34, rainfall_aug > 99, rainfall_dec \leq 8, min_temp_jun \leq 9$ (9 stations, 1 exception) [rule R183]

or

$rainfall_may > 26, max_temp_jan \leq 26, max_temp_jul \leq 29, rainfall_apr > 20, rainfall_jan \leq 35, rainfall_aug > 97, min_temp_jan \leq 5, rainfall_jan \leq 13, rainfall_may \leq 81, rainfall_jan \leq 5, min_temp_may \leq 8$ (5 stations, no exception) [rule R170]

or

$rainfall_may > 26, max_temp_jan \leq 26, max_temp_jul \leq 29, rainfall_apr > 20, rainfall_jan \leq 35, rainfall_aug > 97, min_temp_jan \leq 5, rainfall_jan \leq 13, rainfall_may > 81, rainfall_jun \leq 196, max_temp_nov \leq 22, min_temp_jul \leq 9, max_temp_mar > 23$ (5 stations, 1 exception) [rule R189].

or

$rainfall_may > 26, max_temp_jan \leq 26, max_temp_jul \leq 29, rainfall_apr > 20, rainfall_jan \leq 35, rainfall_aug > 97, min_temp_jan \leq 5, rainfall_jan \leq 13, rainfall_may \leq 81, rainfall_jan > 5, min_temp_jan \leq 4, rainfall_apr \leq 34, rainfall_feb \leq 9, min_temp_jul \leq 11, rainfall_jul \leq 159, rainfall_may > 64, rainfall_jan \leq 10$ (4 stations, no exception) [rule R173].

State = BCS: IF

$rainfall_may \leq 26, rainfall_jun \leq 50, rainfall_may \leq 8, rainfall_jun \leq 2, min_temp_oct > 13, max_temp_aug \leq 38, rainfall_apr \leq 3, rainfall_jun \leq 1$ (58 stations, 1 exception) [rule R2]

or

$rainfall_may \leq 26, rainfall_jun \leq 50, rainfall_may \leq 8, rainfall_jun \leq 2, min_temp_oct > 13, max_temp_aug \leq 38, rainfall_apr \leq 3, rainfall_jun > 1, min_temp_jan \leq 9$ (4 stations, 1 exception) [rule R3]

or

$rainfall_may \leq 26, rainfall_jun \leq 50, rainfall_may \leq 8, rainfall_jun > 2, rainfall_sep > 121, max_temp_jan \leq 25$ (3 stations, no exception) [rule R15].

8.4 Rules with highest support

The most significant rules in a classification tree are those with the highest support. *Support* is the number of climatological stations that are described by the antecedent of the rule. A law can present exceptions, i.e., stations that meet the antecedent but do not meet the consequent (do not belong to that state). *Confidence of a rule* is the ratio of instances (stations) in that rule that match its antecedent and in fact belongs to the state designated by the rule, divided by the total number of stations that just match the antecedent. From the best tree (<http://tinyurl.com/zeoytgd>) the rules with the highest support are identified and presented in Table IV with their respective confidence values. All sorted 329 rules can be downloaded from: <http://tinyurl.com/jxo5gul>, with their support. The two first rules of Table IV are shown below (R2, the third rule, was already presented above with the other rules for BCS):

R9: IF $rainfall_may \leq 26, rainfall_jun \leq 50, rainfall_may \leq 8, rainfall_jun > 2, rainfall_sep \leq 121, min_temp_dec > -1, min_temp_jan \leq 8$ THEN Son (91 stations, 2 exceptions). Support of R9 is

Table IV. The five rules with highest support in the best tree.

No.	Rule ID	State	Support (divided by 2399)	Exceptions	Confidence
1	R9	Son	91 (3.8%)	2	97.8%
2	R196	Mex	67 (2.8%)	8	89.3%
3	R2	BCS	58 (2.4%)	1	98.3%
4	R248	Tamps	53 (2.2%)	1	98.1%
5	R299	Gro	51 (2.1%)	1	98.1%

the real positives is equal to the number of incorrectly classified stations. An ideal although very unusual confusion matrix would present values greater than 0 along its diagonal only, and equal to 0 in any other position. WEKA uses the confusion matrix to compute automatically true positives, true negatives, false positives and false negatives. In turn, these are used to calculate accuracy, Kappa, recall, precision, and *F*-measure.

9. Discussion and suggested applications

The empirical results in Table III show that the models offer accuracy in the classification of climatological stations into states that are greater than or equal to 0.46 (the worst cases are Datasets 8 and 9) and less than or equal to 0.60 (best cases, Datasets 1 and 2). A naïve baseline can be obtained by a random assignment of a given weather station to a state. The probability of this assignment to be correct is at most 0.12, as stated in step viii of section 6. Moreover, this work does not seek to find where to place a meteorological station in certain state. The stations were installed along many years by different government agencies. For this analysis, those stations fulfilling certain criteria were selected, as pointed out in the methodology section.

A series of the most significant patterns in the Mexican climate identified by the tree models are:

- 1) May rainfall is the feature with the highest capability for discrimination of states, which is consistent with theories and systems using this as a critical piece of information. It determines the beginning of the rainy season in Mexico.
- 2) The particular value of 26 mm for the May rainfall determines a threshold to classify the states.
- 3) The next two features with highest discrimination capability in the best tree are June rainfall (threshold: 50 mm), and maximum temperature of January (threshold: 26 °C), involving summer rains and the coldest month, respectively.
- 4) Robust patterns of states with very typical climate emerge; for instance, dry seasons and cold winter in Son, and rainy summer with cold and wet winter in Mex.

The main reasons for using the J4.8 algorithm are: (1) the patterns are represented as classification rules in the tree branches, (2) these rules are easy

to understand, so they are highly useful, and (3) the rules are highly detailed, allowing to describe subtle similarities and differences between pairs of states.

In contrast to the usually applied procedures, our methodology does not depend on any previous climate classification system, but only on observed data. If these data are recent and reliable, the climatological characterization of the territorial units can be more accurate and dependable than the characterization produced by the usually applied procedure. In addition, our methodology is easily used on new observed data as these become available.

The tree models offer a new perspective on the identification and representation of climatological patterns for political division units. Among other aspects, the tree models allow to discover and to represent how similar two given territorial units are. The model uses climatological features possessing discriminant capability, along with their respective threshold values. This combination is able to distinguish between different territorial units.

This work has produced a methodology, a collection of datasets, a series of descriptive statistics analysis, and several machine-learning models that are valuable research products by themselves. They can be applied to other tasks involving analysis, modeling, and visualization. Several applications are suggested below.

The methodology can be used to characterize the climates of the political division units of other countries besides Mexico, for which data on monthly maximum and minimum temperatures, and cumulative rainfall should be available. The minimum duration of periods in the available data should be 30 years, approximately.

The main application of the best tree (<http://tinyurl.com/zeoytgd>) of the Mexican dataset has been the climatological profiling of the states of this country. The nine datasets correspond to different subsets of climatological stations in the Mexican territory. As the produced datasets consider distinct periods, they can be exploited to perform other statistical analyses and machine-learning models. For instance, attractive models may be created using other algorithms, such as classification rules, multi-layer perceptrons, or clustering. This latter procedure can be used to discover, for instance, a small number of clusters, based on the 36 climatological features.

An application of the best tree model and its corresponding dataset is the production of a new database with one additional feature in which climatological stations are labeled depending on the tree branch (or sub-branch) they belong to. The collection of stations represented in it can split into two, four, eight, 16, or 32 subsets. The number of subsets is a power of two because the tree is binary. Every subset can be labeled with a nominal value, for instance a color, and shown on a map. Figure 4 shows a map with stations in four colors.

Finally, although it can be very unusual, the best tree can be used, with some certainty level, to determine the political division unit where a climatological station is placed, in case that this specific data is not available due to some extraordinary reason.

10. Conclusions and future work

This article has presented a methodology to discover and to represent climate patterns of political division units in a country using monthly maximum and minimum temperatures and rainfalls, by means of automatic classification trees. This methodology assumes that every territorial unit contains at least one climatological station and that the recording periods of stations are similar in their durations and in their initial and ending years. Based on the experimental results, our claim is that the classification tree is a useful technique to discover and represent these patterns, and offers high expressivity to climatologists.

Our theoretical contributions are both from the conceptual and the methodological perspectives. From the conceptual perspective, we formalized a notion of climatological characterization of political division units by means of models using classification trees. In addition, the climate patterns (and their representation) of the political division units corresponding to a specific country (Mexico, in this case) constitute innovative knowledge by themselves. In turn, from the methodological perspective, our proposal offers an effective and original procedure to characterize political division units (states, in this case) by means of their climatological features. In addition, our methodology is different from the most common approaches to this problem because it does not depend on any previous climate classification system (e.g., Köppen, Holdridge, García, etc.), or any map representation of climate typologies.

The strengths of this methodology are, among others, the following:

1. Climate patterns discovered and represented by the tree models are finely detailed by means of subsets of the 36 climatological features with their respective threshold values.
2. The most useful features to perform the discrimination are automatically identified by the tree production algorithm (i.e., the most discriminant features are placed at the top of the tree) and, in turn, the least useful features are automatically placed at the bottom or disregarded by the algorithm.
3. Although the models make some mistakes, these generally occur between pairs of states that either are neighbors or have very similar climates.
4. The tree models offer a fine granularity that shows the subtle differences that can exist within one single territorial unit or between two territories with very similar climates.

Future research work should address creating analyses and models with this methodology on data from other climatological datasets, either from Mexico or from other countries, considering different features and other scopes of political division units, either larger or smaller. As an improvement to our work with J4.8, it is possible to construct many decision trees and then select the best (the random forest algorithm [RF]). Other automatic classification algorithms could be used; e.g., classification rules or clustering.

An interesting work would be an empirical comparison of the classification results obtained by these classification models vs. those by expert climatologists using the 36 climatological features. Another interesting product can be a map of Mexico showing types of climatological stations as colored points using two, eight or 16 colors, depending on the branches of the best tree, as explained in section 8 and shown in Figure 4. Finally, our analyses and models can be used as preliminary inputs to a more ambitious research work that aims at revising or updating a known climate classification system, for instance, García's system for Mexico.

It is interesting to see how predictability changed with time, analyzing different periods. We left that for a future work, in order to keep our report to a reasonable extension.

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